# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	Name	of	Transferee
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Name of Transferor

Deutsche Bank AG, London Branch

Svenska Handelsbanken AB (PUBL)

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor

New York, NY 10005 Attention: Matt Weinstein

Phone: 212-250-5760 Fax: 212-797-8770 Court Claim # (if known): 4919

Amount of Claim: \$1,740,001.35

Date Claim Filed: June 19, 2009

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Same as above.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

USS Willer

Director

Date: September 20, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Philipp Roever Vice President

EXHIBIT B

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn:

Clerk

AND TO:

Lehman Brothers Commercial Corporation ("Debtor") Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 4919

SVENSKA HANDELSBANKEN AB (PUBL) its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

## DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3rd Floor New York, NY 10005 Attn: Matt Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$1,740,001.35 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 2011.

SVENSKA HANDELSBAMKEN AB (PUBL)

By: Name:

General Manager

Title:

Daniel Sblendorio Senior Vice President

Head, Control & Accounting

Handelsbanken AB (publ)

New York Branch

# **United States Bankruptcy Court** Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

### Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Svenska Handelsbanken AB (PUBL)

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc.

60 Wall Street, 3rd Floor New York, NY 10005 Attention: Matt Weinstein

Phone: 212-250-5760 Fax: 212-797-8770

Court Claim # (if known): 4922

Amount of Claim: \$1,740,001.35

Date Claim Filed: June 19, 2009

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Same as above.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge/and belief.

Transferee/Transferee's Agent

Date: September 20, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Vice President

Ross Miller Director

### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn:

Clerk

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 4922

SVENSKA HANDELSBANKEN AB (PUBL) its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

### DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attn: Matt Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$1,740,001.35 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 2011.

SVENSKA HANDELSBANKEN AB (PUBL)

By:\_\_\_ Name:

Tisla.

Title: Stefan Misson General Manager

> Daniel Sblendorio Senior Vice President

Head, Control & Accounting Handelsbanken AB (publ)

New York Branch

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Svenska Handelsbanken AB (PUBL)

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attention: Matt Weinstein

Phone: 212-250-5760 Fax: 212-797-8770 Court Claim # (if known): 4921

Amount of Claim: \$2,948,807.16

Date Claim Filed: June 19, 2009

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Same as above.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: Scotember 20, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Ross Miller Director

Philipp Roever Vice President

#### EXHIBIT B

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Clerk

AND TO:

Lehman Brothers Special Financing Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 4921

SVENSKA HANDELSBANKEN AB (PUBL) its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

### DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3rd Floor New York, NY 10005 Attn: Matt Weinstein

Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,948,807.16 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 8, 2011.

SVENSKA HANDELSBANKEN AB (PUBL)

By:

Name: Stefan Nilsson Title: General Manager

> Daniel Sblendorio Senior Vice President

Head, Control & Accounting Handelsbanken AB (publ)

New York Branch

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

#### Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

Svenska Handelsbanken AB (PUBL)

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc.

60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attention: Matt Weinstein

Phone: 212-250-5760 Fax: 212-797-8770 Court Claim # (if known): 4922

Amount of Claim: \$2,948,807.16

Date Claim Filed: June 19, 2009

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Same as above.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: September 20, 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Hoss Miller Director

Philipp Roever Vice President

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn:

Clerk

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 4922

SVENSKA HANDELSBANKEN AB (PUBL) its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

## DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attn: Matt Weinstein

Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,948,807.16 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 8, 2011.

SVENSKA HANDELSBANKEN AB (PUBL)

By:\_\_\_ Name:

Title:

Stefan Nilsson General Manager

Daniel Sblendorio Senior Vice President Head, Control & Accounting Handelsbanken AB (publ) New York Branch